Round-Trip Privacy with NFSv4^{*}

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ABSTRACT

With the advent of NFS version 4, NFS security is more important than ever. This is because a main goal of the NFSv4 protocol is suitability for use on the Internet, whereas previous versions were used mainly on private networks. To address these security concerns, the NFSv4 protocol utilizes the RPCSEC_GSS protocol and allows clients and servers to negotiate security at mount-time. However, this provides privacy only while data is traveling over the wire.

We believe that file servers accessible over the Internet should contain only encrypted data. We present a round-trip privacy scheme for NFSv4, where clients encrypt file data for write requests, and decrypt the data for read requests. The data stored by the server on behalf of the clients is encrypted. This helps ensure privacy if the server or storage is stolen or compromised. As the NFSv4 protocol was designed with extensibility, it is the ideal place to add roundtrip privacy. In addition to providing a higher level of security than only over-the-wire encryption, our technique is more efficient, as the server is relieved from performing encryption and decryption. We developed a prototype of our round-trip privacy scheme. In our performance evaluation, we saw throughput increases of up to 24%, as well as good scalability.

Categories and Subject Descriptors

D.4.6.a [**Operating Systems**]: Security and Privacy Protection— *Cryptographic controls*

General Terms

Security, Performance

Keywords

Encryption, NFSv4, Round-trip

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1. INTRODUCTION

The first two stated goals of NFS version 4 are "improved access and good performance on the Internet" and "strong security with negotiation built into the protocol" [19]. Whereas previous versions of NFS were designed for private networks, NFSv4 was designed to be used over the Internet. NFS systems will clearly be harder to secure in this environment, as reflected by the NFSv4 goal of having strong built-in security.

The NFSv4 protocol provides this strong security by utilizing the RPCSEC_GSS protocol [6]. RPCSEC_GSS provides authentication, integrity, and privacy. Although RPCSEC_GSS secures communications between clients and servers, file data resides as cleartext in the server's caches and storage. This leaves data vulnerable, especially for NFSv4 servers that are open to connections from the Internet. Additionally, if servers see only cipher-text, companies could offer storage outsourcing services using NFSv4. These services are attractive as they reduce data management costs for users.

The standard RPCSEC_GSS *privacy* service provides authentication, integrity, and privacy on the wire. We developed our roundtrip privacy scheme as a new RPCSEC_GSS service called *rt-privacy*. With *rt-privacy*, clients encrypt file data on write operations, and decrypt it on read operations. Other parts of the RPC are en-



Figure 1: A comparison of the current RPCSEC_GSS privacy service and our round-trip privacy (rt-privacy) service. The arrows depict data transfers, where the white portions represent clear-text file data and the black portions represent cipher-text.

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crypted over the wire as they are in the *privacy* service. Additionally the *rt-privacy* service provides the same authentication and integrity on the wire as *privacy*. In our scheme, clear-text file data resides only on authenticated clients. This is depicted in Figure 1.

NFSv4 was designed with extensibility in mind. This allowed us to integrate stronger privacy without modifying the protocol. Because NFSv4 utilizes RPCSEC_GSS, which is also an extensible protocol, we were able to cleanly introduce a new privacy service that is negotiated at mount-time. Additionally, the extensibility of RPCSEC_GSS meant that we could leverage a secure and established protocol, rather than create a new one.

A trade-off often exists between security and performance. The *rt-privacy* service not only provides better privacy, but also relieves the server from performing encryption and decryption on file data. This is an important savings, as the server is generally the bot-tleneck in client-server environments. Our performance evaluation shows that although *rt-privacy* has degraded throughput when performing sequential reads with read-ahead, all other workloads tested show an improvement—as much as 24%. Additionally, we benchmarked *rt-privacy* with as many as 96 processes, and saw that it scales well.

The rest of this paper is organized as follows. We discuss related work in Section 4. We describe the design and implementation of our new privacy service in Section 2, and evaluate its performance in Section 3. We conclude in Section 5.

2. DESIGN AND IMPLEMENTATION

We designed our round-trip privacy scheme with two main goals in mind:

- 1. **Privacy**: only authenticated clients should have access to clear-text data.
- 2. **Efficiency**: the server should be freed from encrypting and decrypting data.

We present our threat model in Section 2.1. We discuss the design of our round-trip privacy service in Section 2.2. We describe the methods that we used for encrypting and decrypting file data in Section 2.3, and our key-management scheme in Section 2.4.

2.1 Threat Model

We assume that only authenticated clients are trusted, and that the network, unauthenticated clients, as well as the NFSv4 server are untrusted. Our goal is to ensure privacy while data is being transmitted over the network and while it resides on the server (both in memory and on persistent storage). We do not currently prevent attackers from maliciously modifying or deleting data. However, we plan to provide round-trip integrity in the future to detect file modifications.

2.2 Round-Trip Privacy

There are several possible ways to design round-trip privacy for NFSv4. We discuss three alternatives before describing ours to illustrate the benefits of our choice. One possibility is to encrypt all wire traffic (using RPCSEC_GSS or SSH, for example) and separately encrypt data being written to the server's disks. This scheme has three drawbacks. First, data is present unencrypted in the server's memory, allowing an attacker to potentially view it. Second, keys are managed on the server, which is untrusted. Third, the same data is encrypted and decrypted multiple times in a single operation. In a write request, for example, the client encrypts the data, the server decrypts the data, and then re-encrypts it before saving it to disk. Similar behavior is seen in read requests. This

is especially bad since the server, which is usually the performance bottleneck in a network file system, is doing unnecessary work.

Another possibility would be to encrypt the file data at the client, above the NFS layer, perhaps by using a stackable file system such as NCryptfs [21] or eCryptfs [9]. Stackable file systems are a useful technique for adding functionality to existing file systems [23]. Stackable file systems overlay another lower file system (the NFSv4 client in this case), and intercept file system events and data bound from user processes to the lower file system. In the case of encryption stackable file systems, file data is encrypted on write operations before passing it to the lower file system, and is decrypted on read operations after it is received from the lower file system. However, this scheme does not provide authentication and integrity, and provides privacy only for file data (not for NFS commands and arguments). If one were to use RPCSEC_GSS to provide this, then clients would be encrypting file data twice for write requests, and servers would be decrypting once. Servers encrypt file data once, and clients decrypt twice for read requests. This puts less load on the server than the previous scheme, but unnecessary work is still being performed.

One additional method for implementing round-trip privacy would be to add a new RPCSEC_GSS privacy service type that would not encrypt or decrypt file data. A user could then mount an encryption stackable file system on top of the NFS client to handle the encryption and decryption of file data. The benefit of using this design is that it relies on stackable file systems that are already in use, and does not perform unnecessary encryption or decryption operations. One downside is that it would be difficult to coordinate the privacy settings between the stackable file system and the RPC layer. The system would need to be carefully configured to ensure that anything not being encrypted at the RPC layer was being encrypted by the stackable file system. Additionally, it would be difficult to implement a feature where the user could selectively encrypt files on storage because information about which files are encrypted would need to traverse several programming layers. Another concern with this design is that performance may suffer because of adding an additional file system layer, and is exacerbated by the fact that the stackable file system performs its own caching. Since both the stackable file system and the NFS client will have their own caches, the cache size is effectively halved, which can impact performance.

We chose to implement our round-trip privacy scheme by adding a new service type to RPCSEC_GSS. The NFSv4 protocol utilizes the RPCSEC_GSS protocol [6] to provide authentication, integrity, and privacy. RPCSEC_GSS uses the GSS-API (Generic Security Service API), and consists of security context creation, RPC data exchange, and security context destruction. During security context creation, a client may specify the security mechanism, the Quality of Protection (cryptographic algorithm to be used), and the type of service. The current security mechanisms implemented by Linux are Kerberos and SPKM-3. The type of service is one of *privacy*, *integrity*, or *none*. All types of service perform authentication, and *privacy* includes integrity as well. Once a context is set up successfully, the RPC data exchange phase may begin. When the client has finished the data exchange, it informs the server that it no longer requires the security context, and the context is sten destroyed.

We have added a new service type called *rt-privacy* (round-trip privacy) to the existing RPCSEC_GSS service types. For operations other than read and write, *rt-privacy* is identical to *privacy*, providing authentication, integrity, and privacy over the wire. For write operations, the file data is encrypted using strong encryption since it will be stored persistently, whereas the remainder of the RPC (such as NFS commands and arguments) is encrypted

as in *privacy*. The file data encryption is discussed further in Section 2.3. The server decrypts everything but the file data; this allows the server to access the meta-data that it needs to process the RPC, but leaves the data to be written to storage encrypted. For read operations, the server does not encrypt the file data, but does encrypt the remainder of the RPC. Using the *rt-privacy* service, the server performs no file data encryption or decryption, reducing its load, while providing round-trip privacy.

In addition to avoiding unnecessary encryption and decryption, we chose to implement our scheme as an RPCSEC_GSS service because: (1) it allows us to encrypt all NFS-related traffic (this cannot be done at the file system level, for example), (2) it allows us to easily distinguish file data from the rest of an RPC so that it can be handled separately (this is more difficult at the transport level, for example), (3) it allows us to implement round-trip privacy by adding a service to RPCSEC_GSS, which is well-known and tested security protocol, and (4) the extensibility of RPCSEC_GSS allowed us to cleanly add this new service and have it be negotiated at mount time.

We have implemented our RPCSEC_GSS service type only for the Kerberos security mechanism, but adding it to the SPKM-3 mechanism would not be difficult. In addition to our changes to RPCSEC_GSS, we modified the NFSv4 implementation to support our key management (see Section 2.4). Because NFSv2 and NFSv3 can also use RPCSEC_GSS, porting the key management code would allow them to use rt-privacy as well. In total, we added 1,840 lines of code, and deleted 21 lines. The total development time was two part-time graduate student working for three months.

2.3 File Data Encryption

By default, we encrypt file data with AES using a 128-bit key, which is the default for other current file data encryption systems [9] (*privacy* currently uses DES-64). This should be sufficiently strong for encrypting most persistent data. If stronger privacy guarantees are needed however, it is trivial to use another supported encryption algorithm or to change the key size because we utilize the Linux kernel's flexible CryptoAPI.

The Linux implementation of NFSv4 performs write operations at offsets that are not necessarily block-aligned nor multiples of the block size, which complicates the use of a block cipher. One possible solution to this issue is to modify NFS's write behavior to write whole, aligned blocks. However, writing a partial block when the remainder of the block is not cached would hurt performance because the client would have to read a full page from the server, decrypt it, complete the block using the new data, and then encrypt and write back the full block. Instead, we use counter-mode (CTRmode) encryption [5]. This turns the block cipher into a stream cipher, which allows for variable-sized writes and random access during decryption. CTR mode obviates the need to be concerned with cipher block sizes and padding. We use the encryption block number for the counter. It has been proven that CTR-mode encryption is as secure as CBC-mode encryption [13].

2.4 Key Management

We have implemented a simple key-management system that can be cleanly extended to provide more advanced functionality, such as per-user and per-group keys. After mounting the NFSv4 file system, the administrator enters a password on the client using the ioctl system call. The main encryption key is generated from the password using the PKCS #5 specification [18]. Neither the password nor the main encryption key are persistently stored. Keys are randomly generated for each file, which are encrypted and decrypted using the main encryption key. Per-file keys are stored in the file's extended attribute on the server. This requires that the exported file system support extended attributes, but most popular Linux file systems support this feature. Per-file keys and are cached in the file's in-memory inode (a per-file data structure) on the client. Caching the key allows us to reduce the number of key exchanges significantly. Although this key-management system is currently not flexible enough for real-world use, it is sufficient for our prototype, and can easily be extended to provide added functionality.

A feature that was introduced in NFSv4 is the compound procedure, which allows clients to send several operations in one "compound," reducing the number of RPCs that are transmitted. We utilize compounds to store and retrieve the per-file keys. The key is stored on the first write operation to a file, and is retrieved on read and write operations when the key is not in the client's cache. The NFSv4 protocol supports *named attributes*, which are similar to extended attributes. This allows systems to use attributes that are not explicitly supported by the protocol without modifying it. We would have utilized this extensibility feature to transmit the per-file keys, but it is not yet available in the Linux NFSv4 implementation. To overcome this problem, we extended the NFSv4 protocol to add a new *recommended attributes*. This was the only change made to the NFSv4 protocol, and is temporary.

3. EVALUATION

The main questions that we wanted to answer when evaluating the performance of our round-trip privacy scheme were:

- 1. With *rt-privacy*, the server is relieved from performing encryption and decryption, but the file data encryption performed on the client is more costly. How will this affect workloads where the server is not heavily loaded?
- 2. How well does rt-privacy scale?

We evaluated our round-trip privacy service using up to seven identical machines; one server and up to six clients. Each was a Dell 1800 with a 2.8GHz Intel Xeon processor, 2MB L2 cache, and 1GB of RAM. The machines were equipped with 250GB Maxtor 7L250S0 SCSI disks. Each machine used one disk as its system disk, and the server used an additional disk for the benchmark data. All machines were connected with gigabit Ethernet by a dedicated HP ProCurve 3400cl switch. The machines ran Fedora Core 6 updated as of May 10, 2007, kernel version 2.6.22-rc3. All local file systems used ext3 with extended attributes enabled. A list of installed package versions, the kernel configuration, and the microbenchmark source code are available at

www.fsl.cs.sunysb.edu/project-secnet fs.html.

We used the Autopilot v.2.0 [20] benchmarking suite to automate the benchmarking procedure. We configured Autopilot to run all tests at least ten times, and compute 95% confidence intervals for the mean elapsed, system, and user times using the Student-*t* distribution. In each case, the half-width of the interval was less than 5% of the mean. We report the mean of each set of runs. Throughput is calculated as the amount of data transferred, divided by the longest elapsed time of all client processes. As we had only six client machines available to us, configurations involving more than 6 processes used more than one process per machine (evenly distributed among the clients).

To minimize the influence of consecutive runs on each other, all file systems, including the exported file system, were re-mounted between runs. In addition, the exported file system was recreated. The page, inode, and dentry caches were cleaned between runs on all machines using the Linux kernel's drop_caches mechanism.



Figure 2: Results for the sequential and random write workloads running on one client machine with a varying number of processes. Note: the x-axis is logarithmic.

3.1 Write Throughput

To measure write throughput, we used sequential and random write workloads generated by a workload generator that we created. Each process created a 1GB file on the server by writing 1,024 1MB chunks. Each file was created in its own directory, and sync was called at the conclusion of the benchmark.

The results for one client machine are summarized in Figure 2. As we can see, *rt-privacy* consistently performs better than *privacy*. To better explain the performance improvement, we profiled the client's encryption function and the server's decryption function using OSprof [12]. The profiles showed that for the one-process sequential workload, the client's encryption function for *rt-privacy* is 1.3 times slower because of the stronger encryption. However, while encryption on the client is marginally slower with *rt-privacy*, decryption on the server is 7.1 times faster because file data does not need to be processed. Combined, the total encryption and decryption time for a write request and reply is 1.9 times faster with *rt-privacy*.

For sequential writes, the throughput for *rt-privacy* is approximately 32.0 MB/sec, and the throughput for *privacy* is approximately 24.4 MB/sec (approximately a 24% improvement). The results do not improve with added processes because of coarsegrained locking in the client code. This was confirmed with OSprof. If we run the experiment with two client machines, with one process on each machine, rather than one client machine with two processes, the throughput for *rt-privacy* increases to 40.8 MB/sec and the throughput for *privacy* increases to 31.6 MB/sec. This is because we remove the lock contention from the client by running the processes on two separate machines.

Random write behavior differs from sequential write in two main ways. First, the NFS client cannot coalesce as many sequential write requests when requests are to random locations in the file. However, the NFSv4 client used the default write size of 128KB, and the application was writing 1MB chunks. To the NFSv4 client, each chunk was seen as eight sequential writes, so coalescing requests did not differ between the workloads. The second way in which the behaviors differ is longer disk seeks on the server for random writes. For both *privacy* and *rt-privacy*, the random write results with one process are statistically indistinguishable from the corresponding sequential write results. However, when the number of processes is increased, the random write performance decreases.



Figure 3: Results for the sequential and random write workloads running on six client machines with a varying number of processes on each. Note: the x-axis is logarithmic.

This is because each process writes 1GB of data, and the server machine has 1GB of RAM. As more data is written on the server, and is written more quickly due to the added number of clients, the server must flush file data to disk more often. Additionally, if the number of dirty pages passes a specified threshold, these writes are performed synchronously. This can be seen in the sharp drop in throughput for sixteen processes in Figure 2, .

To see how well the *rt-privacy* service scales, we ran the write workloads using six client machines, with multiple processes on each (up to 96 processes in total). The results are shown in Figure 3. As we can see, the *rt-privacy* service has a similar degradation in throughput as *privacy*, but maintains a higher throughput. The decrease in throughput as more processes are added is due to the server being more loaded, and requests therefore take longer to process on average.

3.2 Read Throughput

To measure read throughput, we ran both sequential and random read workloads using the same configuration as the write benchmarks. Before starting the benchmarks, we created a 1GB file on the server in its own directory for each client process. We cleaned the caches before each run.

As with the write micro-benchmark, we used OSprof to examine the encryption and decryption overheads when running a sequential workload with one process. In this case we were interested in the encryption method on the server and the decryption method on the client. We found that for *rt-privacy*, the client-side decryption function was 1.2 times slower than *privacy*, but its server-side encryption function was 7.4 times faster because it does not encrypt file data. Combined, the *rt-privacy* functions were 1.5 times faster.

Figure 4 shows the results for several processes running the read workloads on one client machine. For sequential reads with one process, the results are statistically indistinguishable. As more are added, we see that *rt-privacy* does not perform as well as *privacy* (the throughput for *rt-privacy* is as much as 14.7% lower). This was surprising, as the profiles indicate that *rt-privacy* should be faster. We discovered that *rt-privacy* had lower throughput due to the NFS client performing read-ahead. Although the server-side encryption function performed better for *rt-privacy*, much of this was performed off-line because of read-ahead. The client-side encryption, however, has a greater effect on the elapsed time.



Figure 4: Results for the sequential and random read workloads running on one client machine with a varying number of processes. Note: the x-axis is logarithmic.



Figure 5: Results for the sequential and random read workloads running on six client machines with a varying number of processes on each. Note: the x-axis is logarithmic.

By default, the Linux NFSv4 client is configured to perform at most fifteen read-ahead RPCs. In the source code, the authors state that users working over a slow network may want to reduce the amount of read-ahead for improved interactive response. This may be a common scenario with NFSv4 since it was designed to be used over the Internet. By reducing the maximum number of read-ahead RPCs to 1, we saw that the throughput for *rt-privacy* was between 4.2% and 23.0% higher than *privacy*. It should be noted that even in situations where throughput suffered, the server performed significantly less work when using *rt-privacy*, alleviating load from the server machine that is generally the bottleneck. When running the random read workload, the throughput for *rt-privacy* is as much as 10.4% higher than that of *privacy*. This is because the Linux kernel reduces read-ahead when it sees that read-ahead is not effective.

We ran the read workloads using six client machines to observe how well *rt-privacy* scales. As we can see from Figure 5, *rt-privacy* and *privacy* behave almost identically, showing that scalability is not affected by the stronger security.

4. RELATED WORK

In this section we describe other cryptographic systems for remote storage. We first discuss systems that are based on NFS or stackable file systems. We then focus on key management, and approaches used to reduce the load on file servers.

NFS-based systems.

Several file systems have utilized NFS to add privacy to a system. Matt Blaze's CFS [2] is a cryptographic file system that is implemented as a user-level NFS server. An encrypted directory is associated with an encryption key and is explicitly attached by the user by specifying the key. Once attached, CFS creates a directory in the mount point that acts as an unencrypted window to the user's data. A later paper [3] explores key escrow and the use of smart cards to store user keys. Due to its user-space implementation, context switches and data copies hinder CFS's performance. Additionally, CFS uses a single key to encrypt all files under an attached directory, which reduces security.

TCFS [4] is a cryptographic file system that is implemented as a modified kernel-mode NFS client. To encrypt data, a user sets an encrypted attribute on files and directories within the NFS mount point. Every user and group is associated with a different encryption key which is protected using the Unix login password and stored in a local file. A second scheme also supports Kerberosbased key management. Group access to encrypted resources is limited to a subset of the members of a given Unix group, while allowing for a mechanism for reconstructing a group key when a member of a group is no longer available. TCFS has several weaknesses that make it less than ideal for deployment. First, the reliance on login passwords as user keys is not sufficiently secure. Also, storing encryption keys on disk in a key database further reduces security. Finally, TCFS is available only on systems with Linux kernel 2.2.17 or earlier, limiting its availability.

The Self-certifying File System (SFS) [14] is an encrypt-on-thewire system which uses NFS to achieve portability. Users communicate with a local SFS client using NFS RPC calls. The client communicates with a remote SFS server which talks to an NFS server residing on the same machine. SFS-RO [7] is based on SFS and supports encryption on the server-side disk. However, its usage is limited to read-only data; file modification is not supported.

Stackable file systems.

Stackable encryption file systems are portable because they can stack on top of any existing file system. These file systems can be layered on an NFS client to write encrypted data to a remote disk. This would encrypt file data, but NFS-related information would be leaked on the wire because RPC procedure names arguments would not encrypted. The main disadvantage of stackable file systems is the performance penalty incurred by the additional level of indirection introduced and the need to have additional buffer pages to hold the unencrypted data. Cryptfs [22] is the first file system of this type, and bases its keys on process session IDs and user IDs.

NCryptfs [21] enhanced Cryptfs to support multiple concurrent authentication methods, multiple dynamically-loadable ciphers, adhoc groups, challenge-response authentication and timeouts for keys, active sessions and authorizations. NCryptfs uses a single key to encrypt all the files in a mount point which has to be set when the file system is mounted. IBM's eCryptfs [9], another Cryptfsderived file system, provides advanced key management and policy features. eCryptfs stores the encryption key as a part of the file or in an extended attribute, and an attempt to access an encrypted file will result in a callback to a user space utility which will then prompt the user for the password.

Key management techniques.

In addition to the key management techniques discussed in the context of the systems above, other network storage systems used various techniques to manage their keys. Both AFS [10] and NASD [8] use Kerberos to provide security, but both encrypt data only on the

wire, and not on the storage, which decreases security and performance [17]. SNAD [16] expands NASD to provide on disk encryption. However, the main contribution from SNAD is a PKI-based key management system. The symmetric key used to encrypt the file is encrypted with the public keys of the users who are allowed to access the file. Users can then access the file by decrypting the symmetric key using their private keys, and then decrypting the file.

Microsoft's Encrypting File System (EFS) [15] is an extension to NTFS and utilizes Windows authentication methods as well as Windows ACLs. EFS stores keys on the disk in a lockbox that is encrypted using the user's login password.

Another approach to manage keys is explored by the Secure File System [11]. It creates an ACL for each file that contains the access permissions on the file. The file system encrypts the file key using a trusted group server's public key and stores it as a part of the file metadata. The access request is then forwarded to the group server which enforces the access permissions set in the ACL.

Reducing server load.

Different approaches have been used to reduce the load on the server. SFS-RO, like rt-privacy, avoids performing any cryptographic operations on the server to give better performance. It stores data in the encrypted form on untrusted servers that can be modified only by the owner. AFS [10], a distributed file system, caches file data in a local disk cache. NASD [8] proposes a distributed network of storage drives, which relieves the server from handling data transfers. Authorized users use capabilities attained from the server to access network-attached disks directly.

5. CONCLUSIONS

We designed a new round-trip privacy scheme for NFSv4 which was implemented as a new RPCSEC_GSS service called *rt-privacy*. This allows for stronger privacy, which is especially important when using NFSv4 over the Internet.

We leveraged the extensibility of the established RPCSEC_GSS protocol to seamlessly add our security service. We also utilized the extensibility of NFSv4 to add our key management system without modifying the protocol. Our privacy service not only provides increases security, but also reduces the load on the server when compared to other privacy options. In our experiments we saw that *rt-privacy* often significantly improved throughput and scaled well. We have made the source code for *rt-privacy* available at www.fsl.cs.sunysb.edu/project – secnetfs.html.

Future Work.

We plan to implement a more flexible key management scheme, and allow users to specify which files should be stored in encrypted form. We also plan to encrypt meta-data, such as file names. In addition, we can further modify our RPCSEC_GSS service to allow for round-trip integrity [1]. We will also look into potential performance improvements gained from incorporating compression into *rt-privacy*. Data can be compressed before being encrypted, and decompressed after being decrypted. This can potentially improve performance in systems that have network or disk bottlenecks, as well as saving disk space on the server.

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